## IAP13 Rec'd PCT/PTO 20 SEP 2006

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(RE 7-2005)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER 11281-118-999

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCEDNING A SURMISSION LINDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known, see 37CFR 1.5)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE
PCT/KR2005/000969 April 1, 2005

To be assigned 3 6 7 2

PCT/KR2005/000969 April 1, 2005 April 1, 2004 TITLE OF INVENTION TRANSGENIC MICE INDUCING ALZHEIMER'S DISEASE EXPRESSING MUTANT BETA-CTF99 APPLICANT(S) FOR DO/EO/US Ewha University-Industry Collaboration Foundation Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9)  $\boxtimes$ and (21) indicated below. 4 The U.S. has been elected (Article 31).  $\boxtimes$ 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))  $\boxtimes$  $\boxtimes$ is attached hereto. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6.  $\boxtimes$ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  $\boxtimes$ is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). h 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9.  $\boxtimes$ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C.  $\Box$ 

## Items 11. to 20. below concern document(s) or information included:

• • •	ш	An information Disclosure Statement under 37 CFR 1.97 and 1.98.									
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13.		A preliminary amendment.									

An Application Data Sheet under 37 CFR 1.76.
A substitute specification.

371(c)(5)).

16. 
A power of attorney and/or change of address letter.

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 37 CFR 1.821- 1.825.

18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

D: 1 0. . . 1 35 OED 105 1100

19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

20. Other items or information: (Written Opinon)

This collection of information is required by 37 CPR 1.414 and 1.49.1-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IAP9'Rec'd PCT/PTO 20 SEP 2006

US APPLICATION To be assigned	7593	*6cp		PLICATIO 969	N NO.	ATTORNEY'S DOCKET NUMBER 11281-118-999						
The following fee	s are submitt	ted:		\$300.00								
	tion at tee				\$300.00							
Was the Writ	ten Opinion p	prepare	d by the ISA									
Preliminary Examination Report prepared by the USPTO indicate that all filed claims in this U.S. application satisfy provisions of PCT Article												
33(1)-(4)	tive step and											
☐ i) Yes (\$					\$200.00							
<ul><li> ii) No (\$:</li><li>23.  Search fe</li></ul>		\$200.00										
Did the Writt												
examinati	ion report press of PCT Arti	epared t	y the USPT	satisfy								
industrial												
☐ i) Yes (\$0												
ii) If no:	Search Fee h	hac beer	n naid an th					•				
	SPTO as an I											
	n Internation											
US and provided to the Office or previously communicated to the US by the IB. (\$400.00)												
TOTAL OF CALCULATIONS =								\$	\$1000.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing of computer programs listing filed in electronic medium). The fee is \$250 for												
each addition	al 50 sheets o	ter prog of paper	r or fraction	thereof.	iii). The	iec is \$230 ioi						
Total Sheets	Extra She	eets		of each additional 50 or f		RATE						
76 -100 0 /50			(round up to a whole nun	x <b>250.00</b>				\$0.00				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the								\$	\$0.00			
claimed priority of CLAIMS	RATE X		-+									
Total Claims	;	23 - 20		3	х	\$50.00			\$150.00			
Independent Cla	х	\$200.00	=		\$0.00							
MULTIPLE	DEPENDEN	T CLA	IM(S) (if ap	-	+ 360.00			\$360.00				
	CULATIONS=	=	\$	\$1510.00								
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 50%.								- \$	\$0.00			
SUBTOTAL =								\$	\$1510.00			
Surcharge of \$130.00 for furnishing the English Translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).									\$0.00			
TOTAL NATIONAL FEE								\$	\$1510.00	-		
Fee for recording	be		\$	\$0.00								
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 0 x \$40.00 per property  TOTAL FEES ENCLOSED								\$	\$1510.00			
								Amount to be refunded:				
								charged:				
	n the amount			to cover the above fees is		•						
				e charge Deposit Account A copy of this sheet is enc								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3013. A copy of this sheet is enclosed.												
Note: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.												
24. All correspondence for this application should be mailed to JONES DAY 20583.												
25. 🛭 All tele	ephone inquir	ries sho	uld be made	e to Lawrence S. Graham	, Esq. (Re	eg. No. 49,020) at	858-3	14-1171				
By Lawers Serl Reg. No. 49m.												
Anthony M. Inso	Anthony M. Insogna, Esq.  Anthony M. Insogna, Esq.  NAME  SIGNATURE  SIGNATURE  SIGNATURE  DATE  Pag. No. 49,020  Og/20/06  REG. NO.  DATE											